

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheer

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of

8

**COMPLETE IF KNOWN**

**Application Number** 09/835,694

First Named Inventor

Group Art Unit | 1636

**Examiner Name** Garvey, Tara L.

Attorney Docket Number 18972PCA

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## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		
JM	H	PCT	WO90/11092		Vical, Inc	10/04/1990
JM	I	PCT	WO86/00930		Worcester Foundation for Experimental Biology	02/13/1986
JM	J	PCT	WO94/06468		SmithKline Beecham Corp	03/31/1994
JM	K	PCT	WO93/15763		SmithKline Beecham Corp	08/19/1993
JM	L	PCT	WO93/12756		The Regents of the Univ of California	07/08/1993
JM	M	PCT	WO93/12240		The Regents of the Univ of California	06/24/1993
JM	N	PCT	WO93/03709		Vical Inc.	03/04/1993
JM	O	PCT	WO91/17424		Vical Inc.	11/14/1991
JM	P	PCT	WO91/16024		Vical Inc.	11/13/1991
JM	Q	PCT	WO90/01543		Intracel Corp.	02/22/1990

Examiner Signature	Sara L. Garney	Date Considered	2/25/05
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				Filing Date	April 16, 2001
				First Named Inventor	J.J. Donnelly
				Group Art Unit	1636
				Examiner Name	Garvey, Tara L.
				Attorney Docket Number	18972PCA

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.			
<i>JJG</i>	AA	Wang et al., 'Genetic Immunization: A Novel Method for Vaccine Development Against HIV...', Modern Approaches to New Vaccines, Including Prevention of Aids', p. 99 (9/16-9/29/92)			
<i>JJG</i>	AB	Liu et al. 'Immunization with DNA Encoding a Conserved Inter nal Viral...' Modern Approaches to New Vaccines, Including Prevention of Aids, p. 98 (9/16-9/29/92)			
<i>JJG</i>	AC	Rhodes et al., 'A Novel Method of Inducing Cellular and Humoral Immunity...' Moderan Approaches to New Vaccines, Including Prevention of Aids', p. 91 (9/16-9/29/92)			
<i>JJG</i>	AD	Donnelly et al., 'Immunization with Influenza a Nucleoprotein...' Modern Approaches to New Vaccines, Including Prevention of Aids', p. 23 (9/16-9/29/92)			
<i>JJG</i>	AE	Parker et al. 'Intramuscular Vaccination of Plasmid DNA <sup>Containing</sup> ...', Modern Approaches to New Vaccines, Including Prevention of Aids', p. 47 (9/16-9/29/92)			
<i>JJG</i>	AF	Rhodes et al., 'Injection of Expression Vectors Contianing Antigen Genes...', Modern Approaches to New Vaccines, Including Prevention of Aids', p. 51 (9/16-9/29/92)			
<i>JJG</i>	AG	Friedman et al., 'Modulation of the Virulence of an H3N2 Influenza Virus...', Modern Approaches to New Vaccines, Inclding Prevention of Aids, p. 68 (9/16-9/29/92)			
<i>JJG</i>	AH	Edgington, S. 'PCR: Catching the Next Wave', Bio/Tech., 10: p 137-140 (1992)			
<i>JJG</i>	AI	Takahashi H., 'Induction of Broadly Cross-Reactive..', Science, 255: p 333-336 (1992)			
<i>JJG</i>	AJ	Cudd & Nicolau, 'Intracellular Fate of Liposome...', Biochimica et Biophysica Acta, 845, p 477-91 (1985)			
<i>JJG</i>	AK	Will et al., 'Infectious Hepatitis B Virus.' Proc. Natl. Acad. Sci.. 82: p 891-895 (1985)			
<i>JJG</i>	AL	Feitelson, et al. ' A Chronic Carrierllike.. J. of Virology, 62: No. 4, p 1408-1415 (1988)			
<i>JJG</i>	AM	Sureau et al. 'Cloned Hepatitis Delta.. J. of Virology, 63: No. 10, p 4292-4297 (1989)			
<i>JJG</i>	AN	Seeger, et al. 'In Vitro Recombinants of Group...', J. of Virology 61: No. 10, p 3241-3247 (1987)			
<i>JJG</i>	AO	Sprengel et al. 'Homologous Recombination between...', virolog, 159: p 454-456 (1987)			

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<i>JJG</i>	AP	Sprengel et al. 'Cloned Duck Hepatitis B...' J. of Virology, 52: No. 3, p 932-937 (1984)			
<i>JJG</i>	AQ	Will et al., 'Cloned HBV DNA Causes Hepatitis...', Nature, 299: p 740-742 (1982)			
<i>JJG</i>	AR	Pestka et al. 'AntimRNA: Specific...', Proc. Natl. Acad. Sci., 81: p 7525-7528 (1984)			
<i>JJG</i>	AS	Dubensky et al. 'Direct Transfection of Viral.' Pro. Natl. Acad. Sci., p 7529-7533 (1984)			
<i>JJG</i>	AT	Felgner, et al., 'Cationic liposome-mediated transfection...' Nature, 337: No. 6205 p 387-388 (1989)			
<i>JJG</i>	AU	Brigham et al. 'Rapid Communication: In Vivo Transfection.', Am. J. Med. Sci., 298: p 278-281 (1989)			
<i>JJG</i>	AV	Furth et al., 'Gene Transfer into Somatic...' Analytical Biochem. 205: p. 365-368 (1992)			
<i>JJG</i>	AW	Seeger et al. 'The Cloned Genome of Group Squirrel...' Proc. Natl. acad. Sci., 81: p 5849-5852 (1984)			
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<i>JJG</i>	AY	Wu and Wu, 'Receptor-mediated Gene Deliver...' J. Bio. Chem. 263: NO. 29, p. 14621-14624 (1988)			
<i>JJG</i>	AZ	Wang and Huang, 'pH Sensitive Immunoliposomes Mediate...', Proc. Natl. Acad. Sci., 84: p7851-7855 (1987)			
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<i>JJG</i>	AAC	Nabel, et al. 'Site-Specific Gene Expression Vivo...' Science, 249: p. 1285-1288 (1990)			
<i>JJG</i>	AAD	Brigham et al. 'Expression of Prokaryotic Gene...', Amer. J. Resp. Cell and Mol. Bio., 1: p. 95-100 (1989)			

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<i>JJG</i>	AAF	Tang et al. 'Genetic Immunization is aSimple...' Nature, 356: p. 152-154 (1992)			
<i>JJG</i>	AAG	Friedmann,T. 'Progress Toward Human Gene Therapy', Science 244: p. 1275-1281 (1989)			
<i>JJG</i>	AAH	Felgner, et al. 'Lipofection: A highly efficient, lipid-mediated...', Proc. Natl. Acad. Sci., 84: p. 7413-7417 (1987)			
<i>JJG</i>	AAI	Wolff, et al. 'Direct Gene Transfer into Mouse...' Science, 247: p. 1465-1468 (1990)			
<i>JJG</i>	AAJ	Louis and Verma, 'An Alternative Approach to Somatic...', Proc. Natl. Acad. Sci., 85: p. 3150-3154 (1988)			
<i>JJG</i>	AAK	Malone et al. 'Cationic Liposome-mediated RNA Transfection', Proc. Natl. Acad. Sci., 86: p. 6077-6081 (1989)			
<i>JJG</i>	AAL	Robinson et al. 'Use of Direct DNA Inoculations...', Abst. of Papers Pres. at 1992 meeting, p. 91-92 (1992)			
<i>JJG</i>	AAM	Physicians' Desk Ref., p. 1232-1234 (1993)			
<i>JJG</i>	AAN	Marantz Henig, R., New Vaccine Method...', NY Times Medical Science, pg C3 (1993)			
<i>JJG</i>	AAO	'Genes in a Bottle', Bio/Technology, 10: p. 132-136 (1992)			
<i>JJG</i>	AAP	Kaneda et al., 'Increased Expression of DNA...', Science, 243: p. 375-378 (1989)			
<i>JJG</i>	AAQ	Hansen et al. Strong Expression of Foreign Genes ...', FEBS, 290: p. 73-76 (1991)			
<i>JJG</i>	AAR	Lin et al. Expression of Recombinant Genes...' Circulation, 82: No. 6, p. 2217-2221 (1990)			
<i>JJG</i>	AAS	Gammelin et al. 'Two Subtypes of Nucleoproteins...' Virology, 170: p. 71-80 (1989)			

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<i>JJG</i>	AAT	Townsend and Bodmer, 'Antigen Recognition by Class I...', Ann. Rev. Immunol. 7: p. 601-624 (1989)			
<i>JJG</i>	AAU	Jiao et al., 'Direct Gene Transfer into Nonhuman...' Human Gene Therapy, 3: p. 21-33 (1992)			
<i>JJG</i>	AAV	Chapman et al. 'Effect of Intron A from Human Cytomegalovirus...' Nucleic Acids Research, 19: No. 14, p. 3979-3986 (1991)			
<i>JJG</i>	AAW	Yang et al., 'In Vivo and In Vitro Gene Transfer...' Proc. Natl. Acad. sci. USA, 87: p. 9568-9572 (1990)			
<i>JJG</i>	AAX	DeWet et al. 'Firefly Luciferase Gene: Structure...', Molec. and Cell Biol. 7: No. 2, p. 725-737 (1987)			
<i>JJG</i>	AAY	Johnston, S.A. 'Biostotic Transformation: Microbes to Mice', Nature 346: p. 776 (1990)			
<i>JJG</i>	AAZ	Germain, R. 'The Ins and OUTs of Antigen...', Nature, 322: p. 687-689 (1986)			
<i>JJG</i>	ABA	Bevan, M. 'Class Discrimination in the World of Immunology', Nature, 325: p. 192-194 (1987)			
<i>JJG</i>	ABB	Acsadi, et al. 'Human Dystrophin Expression in MDX....' Nature, 352, p. 815-818 (1991)			
<i>JJG</i>	ABC	Giguere et al. 'Identification of a Receptor for the Morphofen Retinoic Acid', Nature, 330: p. 624-629 (1987)			
<i>JJG</i>	ABD	Ono et al., 'Plasmid DNAs Directly Injected...', Neuroscience Ltrs., 117: p. 259-263 (1990)			
<i>JJG</i>	ABE	Whang et al., 'Expression of the Epstein-Bar Virus...', J. of Virology, 61: No. 6, p. 1796-1807 (1987)			
<i>JJG</i>	ABF	Gorman et al., 'Evolution of Influenza A Virus Nucleoprotein...' J. of Virology, 65: No. 7, p. 3704-3714 (1991)			
<i>JJG</i>	ABG	Wu et al., 'Targeting Genes: Delivery and Persistent Expression...', The J. of Biol. Chem., 264: No. 29, p. 16985-16987 (1989)			
<i>JJG</i>	ABH	Ulmer et al. 'Heterologous Protection Against Influenza...', Science, 259: p. 1745-1749 (1993)			

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<i>JJG</i>	ABI	Cohen,J., 'Naked DNA Points Way to Vaccines', Science, 259: p.1691-1692 (1993)			
<i>JJG</i>	ABJ	Friend, T., 'Gene Therapy May Aid Heart Ailments', USA Today, p. 1-D, Feb. 11 (1991).			
<i>JJG</i>	ABK	Soriano P., et al. 'Targeted and Nontargeted Liposomes...', Proc. Natl. acad. Sci.: 80: p. 7128-7131 (1983)			
<i>JJG</i>	ABL	1993 Conference, Washington, D.C. Bioeast, p. 57-58 Jan. 24-27 (1993)			
<i>JJG</i>	ABM	Waldholz, M. 'Merck Team Develops a New Type...', Wall Street Jour. Tech & Med. Section, March 19, (1993)			
<i>JJG</i>	ABN	Wolff et al. 'Long-Term Persistance of Plasmid DNA...', Human and Molec. Gen., 1: No. 6, p. 363-369 (1992)			
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<i>JJG</i>	ABP	Yakubov, et al., 'Mechanism of Oligonucleotide Uptake by Cells...', Proc. Natl. acad. sci, Vol. 86 pp. 6454-6458, (1989)			
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<i>JJG</i>	ABT	Zhu et al. 'Systemic Gene Expression After Intravenous DNA...', Science, Vo. 261, 1993			
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<i>J.L.G.</i>	ABX	Maninno et al., 'Liposome Mediated Gene transfer', Biotechniques, Vol. 6, No. 7, pp 682-690, 1988			
<i>J.L.G.</i>	ABY	Bertoni et al. 'Antigenic Structure of the Influenza B Virus Hemagglutinin..', J. of Vir., Vo.. 52, No. 3, pp 919-927, 1984			
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<i>J.L.G.</i>	ACB	Physician's Desk Reference, 46th Ed., Medical Economics, NJ, pp 1204-1206, 1992			
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<i>J.L.G.</i>	ACE	Verma, I., 'Gene Therapy', Scientific American, p 68-84, 1990			
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<i>J.L.G.</i>	ACI	Rota et al. 'Comparison of inactivated, live and recombinant DNA vaccines against influenza virus in a mouse model', Virus Research, Vol. 16, pp 83-94, 1990			
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